

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/034,995

01/03/2002

Scott A. Musman

82088-0001

24633 HOGAN & HARTSON LLP IP GROUP, COLUMBIA SQUARE 555 THIRTEENTH STREET, N.W. WASHINGTON, DC 20004 CONFIRMATION NO. 1115
FORMALITIES LETTER
\*OC000000007473201\*

Date Mailed: 02/13/2002

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

### FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

  Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$186.
  - \$144 for 16 total claims over 20.
  - \$42 for 1 independent claims over 3.
- The oath or declaration is missing.
  - A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 621.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

05/15/2002 RMEBRAHT 00000077 10034995 PART 2 - COPY TO BE RETURNED WITH RESPONSE

02 FC:201 03 FC:205 04 FC:203 370.00 OP 65.00 OP 144.00 OP 42.00 OP

10.00 OP

06 FC:998



Attorney Docket No.: 82088-0001

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

	In re A	Applicat	ion of: Scott MUSMAN	)
	Applio	cation N	o.: 10/034,995	) Group Art Unit: 2161
	Filing	Date: J	January 3, 2002	) Examiner: Unknown
	For:	BASEI	EM AND METHOD FOR USING AGENT- D DISTRIBUTED CASE-BASED REASONING ANAGE A COMPUTER NETWORK	) ) )
	BOX:	MISS	r for Patents ING PARTS D.C. 20231	
			RESPONSE TO NOTICE TO FILE MISS	SING PARTS
,		This is	s in response to the Notice to Filing Missing Parts,	dated February 13, 2002 in the
	above	-identifi	ed application. Also included with this Response	is a Petition for a One-Month
	Extens	sion of	Time for the prescribed petition fee of \$55.00 as de	esignated under 37 CFR
. <b>-</b>	§1.170	(a)(3).		
•	1.	Declar	ration for Patent Application	
			Enclosed. This application was filed without an executed De	eclaration.
	2.	Assign	nment	
			An assignment of the invention to and Form Cover Sheet, are enclosed.	d a PTO Form-1595, Recordation
05/15/2002	RMEBRAHT	00000077	An assignment will be filed at a later date. 10034995	
01 FC:215			55.00 OP	

3. Fee Calculation (37 C.F.R. § 1.16)

CLAIMS FOR FEE CALCULATION						
	Number Filed	Number Extra		at Rate of	_	asic Fee ity \$740.00 \$370.00
Total Claims (37 C.F.R. §1.16(c))	36 - 20 =	16	\$	18.00 each=	+\$	288.00
Independent Claims (37 C.F.R. §1.16(b))	4 - 3 =	1	\$	84.00 each=	+\$	84.00
Multiple dependent claim(s), if any (37 C.F.R. §1.16(d)) \$ 280.00					+	
SUB-TOTAL =				\$	1,112.00	
Surcharge under 37 C.F.R. § 1.16(e)				130.00	\$	130.00
Reduction by 1/2 for filing by a small entity				-\$	621.00	
TOTAL FILING FEE =			\$	621.00		

# 4. Fee Payment

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	\$370.00 filing fee, \$144.00 additional claims; \$42.00 one independent claim over three; \$55.00 for a petition for a one-month extension of time fee, and the \$65.00 surcharge as set forth in 37 C.F.R. 1.16(e)).
	If an Assignment is being filed as noted in paragraph 2 above, a separate check in the amount of \$40.00 is enclosed.
	Authorization is given to charge to Deposit Account 50-1349 for the fees due as specified.
5.	<b>Except</b> for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account 50-1349. This paragraph is intended to be a <b>CONSTRUCTIVE PETITION FOR EXTENSION OF TIME</b> in accordance with 37 C.F.R. §1.136(a)(3)

Enclosed is a check for \$686.00 for the fees due as specified above (including the

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6.	Other	
		Information Disclosure Statement
		PTO-1449, documents as listed
		Preliminary Amendment
		Claim for Priority
		Certified copy of Priority Document
		Sequence Listing
	$\boxtimes$	Response Copy

If there are any questions regarding the above-captioned patent application, please contact applicant's representative at the number given below.

Respectfully submitted,

**HOGAN & HARTSON LLP** 

Dated: May 13, 2002

HOGAN & HARTSON LLP 555 13<sup>th</sup> Street, N.W. Washington, D.C. 20004 (202) 637-5703 Customer No. 24633 By:

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